Dynamically defined fractals

YRM 2015 Jonathan M. Fraser The University of Manchester Email: jon.fraser32@gmail.com

Image: A matrix

What is a fractal?

▲口> ▲圖> ▲注> ▲注>



・ロト ・回 ト ・ヨト ・ヨト

E



Jonathan Fraser Dynamically defined fractals



< □ > < □ > < □ > < □ > < □ > < □ > = □





・ロン ・回 と ・ ヨ と ・ ヨ と



토 🛌 🗉





◆□ > ◆□ > ◆臣 > ◆臣 > ○

(1) they exhibit detail on arbitrarily small scales

- (1) they exhibit detail on arbitrarily small scales
- (2) they display some sort of 'self-similarity'

同 ト イヨ ト イヨト

- (1) they exhibit detail on arbitrarily small scales
- (2) they display some sort of 'self-similarity'
- (3) classical techniques in (smooth) geometry are not sufficient to describe them

(4回) (日) (日)

- (1) they exhibit detail on arbitrarily small scales
- (2) they display some sort of 'self-similarity'
- (3) classical techniques in (smooth) geometry are not sufficient to describe them
- (4) they often have a simple definition

(4回) (日) (日)

- (1) they exhibit detail on arbitrarily small scales
- (2) they display some sort of 'self-similarity'
- (3) classical techniques in (smooth) geometry are not sufficient to describe them
- (4) they often have a simple definition

Fractal geometry is the study of fractals and is mainly concerned with examining their geometrical properties in a rigorous framework.

・ロト ・回ト ・ヨト ・ヨト

What is dynamical system?

▲ロト ▲圖ト ▲温ト ▲温ト

What is dynamical system?

(I guess you know)

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶

A dynamical system is a set X,

・ロン ・四マ ・ヨン・モン

What might we want to know about a dynamical system?

イロン 不同と 不同と 不同と

What might we want to know about a dynamical system?

(1) what happens to orbits $T^k(x)$ as $k \to \infty$?

- 4 回 ト - 4 回 ト - 4 回 ト

What might we want to know about a dynamical system?

(1) what happens to orbits $T^k(x)$ as $k \to \infty$? for a 'typical' x?

- 4 回 ト - 4 回 ト - 4 回 ト

What might we want to know about a dynamical system?

- (1) what happens to orbits $T^k(x)$ as $k \to \infty$? for a 'typical' x?
- (2) does there exist a set $E \subset X$ on which the dynamics are 'special' or 'different' ?

(本間) (本語) (本語)

What might we want to know about a dynamical system?

- (1) what happens to orbits $T^k(x)$ as $k \to \infty$? for a 'typical' x?
- (2) does there exist a set $E \subset X$ on which the dynamics are 'special' or 'different' ?
- (3) how complicated is the map T?

→ 同 → → 目 → → 目 →

What might we want to know about a dynamical system?

- (1) what happens to orbits $T^k(x)$ as $k \to \infty$? for a 'typical' x?
- (2) does there exist a set $E \subset X$ on which the dynamics are 'special' or 'different' ?
- (3) how complicated is the map T? and the set X?

(本間) (本語) (本語)

What might we want to know about a dynamical system?

- (1) what happens to orbits $T^k(x)$ as $k \to \infty$? for a 'typical' x?
- (2) does there exist a set $E \subset X$ on which the dynamics are 'special' or 'different' ?
- (3) how complicated is the map T? and the set X?
- (4) Is the system 'mixing'? How fast does it 'mix'?

(本間) (本語) (本語)

An example

Let X = [0, 1] and let $T(x) = 3x \mod 1$.

◆□→ ◆□→ ◆三→ ◆三→

An example

Let X = [0, 1] and let $T(x) = 3x \mod 1$.



< 🗇 >

< 注入 < 注入

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶

Here is one interpretation:

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶

Here is one interpretation: write

$$x=0.d_1d_2d_3d_4\ldots$$

as a base 3 expansion.

- 4 回 ト - 4 回 ト - 4 回 ト

Here is one interpretation: write

$$x=0.d_1d_2d_3d_4\ldots$$

as a base 3 expansion. Then

$$T(x)=0.d_2d_3d_4d_5\ldots$$

||◆ 副 |> ||◆ 国 |> ||◆ 国 |>

Here is one interpretation: write

$$x=0.d_1d_2d_3d_4\ldots$$

as a base 3 expansion. Then

$$T(x)=0.d_2d_3d_4d_5\ldots$$

i.e. T acts like the left shift on the trinary expansion of x.

回下 くほと くほど

An example

Maybe we are interested in points which do not have the digit 1 in their trinary expansion?

An example

Maybe we are interested in points which do not have the digit 1 in their trinary expansion?



< ∃→

э
An example

Maybe we are interested in points which do not have the digit 1 in their trinary expansion?



글 > 글

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

◆□ > ◆□ > ◆臣 > ◆臣 > ○

ヘロン 人間 とくほとくほと

_____ ___ ____ ____ ___ ___ ____ _____ ___ ___

・ロン ・四と ・ヨン ・ヨ

・ロト ・ 日 ・ ・ 日 ・ ・ 日 ・

One is often interested in understanding the local structure of a fractal.

||| 日本 (日本) (日本)

3

回下 くほと くほど

For x in the Cantor set and small r > 0, we have

 $\mu(B(x,r))$

同 ト イヨ ト イヨ ト

For x in the Cantor set and small r > 0, we have

 $\mu(B(x,r)) \approx \mu(B(x,3^{-k}))$

回 と く ヨ と く ヨ と …

3

For x in the Cantor set and small r > 0, we have

$$\mu(B(x,r)) \approx \mu(B(x,3^{-k})) = 2^{-k}$$

回下 くほと くほど

For x in the Cantor set and small r > 0, we have

$$\mu(B(x,r)) \approx \mu(B(x,3^{-k})) = 2^{-k} = (3^{-k})^{\log 2/\log 3}$$

回下 くほと くほど

For x in the Cantor set and small r > 0, we have

$$\mu(B(x,r)) \approx \mu(B(x,3^{-k})) = 2^{-k} = (3^{-k})^{\log 2/\log 3} \approx r^{\log 2/\log 3}$$

個 ト く ヨ ト く ヨ ト

For x in the Cantor set and small r > 0, we have

$$\mu(B(x,r)) \approx \mu(B(x,3^{-k})) = 2^{-k} = (3^{-k})^{\log 2/\log 3} \approx r^{\log 2/\log 3}$$

which means $\log 2 / \log 3$ is the 'dimension' of μ (and the Cantor set).

回 と く ヨ と く ヨ と …

1

For x in the Cantor set and small r > 0, we have

$$\mu(B(x,r)) \approx \mu(B(x,3^{-k})) = 2^{-k} = (3^{-k})^{\log 2/\log 3} \approx r^{\log 2/\log 3}$$

which means log 2/log 3 is the 'dimension' of μ (and the Cantor set).

Do we expect the 'density'

$$\lim_{r\to 0}\frac{\mu(B(x,r))}{r^s}$$

to exist for every *x*?

□ ▶ ★ 臣 ▶ ★ 臣 ▶ ...

3

Perhaps surprisingly, the answer is 'no'.

- 4 回 ト - 4 回 ト - 4 回 ト

Perhaps surprisingly, the answer is 'no'. Despite how regular the Cantor set seems, at almost every x the density does not exist.

_ ∢ ≣ →

Perhaps surprisingly, the answer is 'no'. Despite how regular the Cantor set seems, at almost every x the density does not exist.

Do we expect the 'upper density'

$$D(x) = \limsup_{r \to 0} \frac{\mu(B(x,r))}{r^s}$$

to be the same for every x?

Perhaps surprisingly, the answer is 'no'. Despite how regular the Cantor set seems, at almost every x the density does not exist.

Do we expect the 'upper density'

$$D(x) = \limsup_{r \to 0} \frac{\mu(B(x, r))}{r^s}$$

to be the same for every x?

This time the answer is 'almost surely yes', and this is an easy consequence of the underlying dynamics.

向下 イヨト イヨト

Fact 1: μ is *T*-ergodic.

Jonathan Fraser Dynamically defined fractals

- 4 回 🕨 - 4 国 🕨 - 4 国 🕨

回 と く ヨ と く ヨ と …

Fact 2: *D* is a μ -measurable function of *x*.

回 と く ヨ と く ヨ と …

Fact 2: *D* is a μ -measurable function of *x*.

Fact 3: for any x, D(x) = D(T(x)).

回 と く ヨ と く ヨ と …

Fact 2: *D* is a μ -measurable function of *x*.

Fact 3: for any x, D(x) = D(T(x)).

Consequently: for any λ

$$T^{-1}\{x : D(x) < \lambda\} = \{x : D(T(x)) < \lambda\} = \{x : D(x) < \lambda\}$$

同 ト く ヨ ト く ヨ ト

1

Fact 2: *D* is a μ -measurable function of *x*.

Fact 3: for any x, D(x) = D(T(x)).

Consequently: for any λ

$$T^{-1}\{x : D(x) < \lambda\} = \{x : D(T(x)) < \lambda\} = \{x : D(x) < \lambda\}$$

and so either $D(x) < \lambda$ almost surely or $D(x) \ge \lambda$ almost surely.

回り くほり くほり ……ほ

Another example

Let $X = \mathbb{C}$ and $T(z) = z^2 + c$ for some fixed $c \in \mathbb{C}$.

(1) how complicated is the dynamics of T?

- 4 回 ト - 4 回 ト - 4 回 ト

Another example

Let $X = \mathbb{C}$ and $T(z) = z^2 + c$ for some fixed $c \in \mathbb{C}$.

(1) how complicated is the dynamics of T?

(2) which points z escape to infinity?

□ > < □ >

Another example

Let $X = \mathbb{C}$ and $T(z) = z^2 + c$ for some fixed $c \in \mathbb{C}$.

(1) how complicated is the dynamics of T?

(2) which points z escape to infinity?

For c = 0



- - 4 回 ト - 4 回 ト

Most points are in the basin of attraction of some fixed point.

< ≣⇒

A ■

< ∃ >

Most points are in the basin of attraction of some fixed point.

However, there is a set J(T) where the dynamics is interesting, which is called the **Julia set** of T.

- 4 回 ト - 4 回 ト - 4 回 ト

Most points are in the basin of attraction of some fixed point.

However, there is a set J(T) where the dynamics is interesting, which is called the **Julia set** of T.

$$J(T) = \partial \{z \in \mathbb{C} : T^k(z) \not\rightarrow \infty \}$$

- 4 回 ト - 4 回 ト - 4 回 ト

Most points are in the basin of attraction of some fixed point.

However, there is a set J(T) where the dynamics is interesting, which is called the **Julia set** of T.

$$J(T) = \partial \{z \in \mathbb{C} : T^k(z) \not\rightarrow \infty \}$$

The Mandelbrot set (which we've seen already) is

$$M = \{ c \in \mathbb{C} : J(T) \text{ is connected} \}.$$

回下 くほと くほど

The Mandelbrot set



Jonathan Fraser Dynamically defined fractals

▲ロト ▲部ト ▲注ト ▲注ト


(1) compact

◆□ ▶ ◆□ ▶ ◆ □ ▶ ◆ □ ▶

(1) compact, uncountable

イロン イヨン イヨン イヨン

(1) compact, uncountable, perfect

- 170

< ∃ >

- < ≣ →

(1) compact, uncountable, perfect, nowhere dense

< 🗇 🕨

→ Ξ → < Ξ →</p>

- (1) compact, uncountable, perfect, nowhere dense
- (2) invariant

- 4 回 ト - 4 回 ト - 4 回 ト

- (1) compact, uncountable, perfect, nowhere dense
- (2) invariant: $T(J) = T^{-1}(J) = J$

(4回) (日) (日)

- (1) compact, uncountable, perfect, nowhere dense
- (2) invariant: $T(J) = T^{-1}(J) = J$
- (3) J is the closure of the repelling periodic points of T

回下 くほと くほど

- (1) compact, uncountable, perfect, nowhere dense
- (2) invariant: $T(J) = T^{-1}(J) = J$
- (3) J is the closure of the repelling periodic points of T
- (4) J is the boundary of the basin of attraction of any attractive fixed point

回 と く ヨ と く ヨ と …

- (1) compact, uncountable, perfect, nowhere dense
- (2) invariant: $T(J) = T^{-1}(J) = J$
- (3) J is the closure of the repelling periodic points of T
- (4) J is the boundary of the basin of attraction of any attractive fixed point
- (5) T acts 'chaotically' on J

回 と く ヨ と く ヨ と …

3

Kleinian groups are discrete subgroups of $PSL(2, \mathbb{C})$ which act discretely on the interior of 3 dimensional hyperbolic space.

(本間) (本語) (本語)

Kleinian groups are discrete subgroups of $PSL(2, \mathbb{C})$ which act discretely on the interior of 3 dimensional hyperbolic space. Despite this their action on the boundary (modeled by the complex plane) can be continuous on a highly intricate fractal set, known as the **limit set**.

Kleinian groups are discrete subgroups of $PSL(2, \mathbb{C})$ which act discretely on the interior of 3 dimensional hyperbolic space. Despite this their action on the boundary (modeled by the complex plane) can be continuous on a highly intricate fractal set, known as the **limit set**.

Iterated function systems are collections of contracting maps on a metric space. They uniquely define non-empty compact fractal attractors which can be modelled by shift spaces similar to the Cantor set.

向下 イヨト イヨト









